

**RISK PROFILE QUESTIONNAIRE:      Client/s: .....**

Signature/s: .....      Date: ...../...../.....

- 1.      How important is the long-term security of your capital?**
  - a.      Not important
  - b.      Moderately important
  - c.      Important
  - d.      Highly important
  - e.      Extremely important
  
- 2.      How concerned are you about short-term fluctuations in the capital value of your investments?**
  - a.      Not concerned
  - b.      Moderately concerned
  - c.      Concerned
  - d.      Highly concerned
  - e.      Extremely concerned
  
- 3.      How long do you plan to invest this money for?**
  - a.      > 7 years
  - b.      5 – 7 years
  - c.      2 – 4 years
  - d.      < 2 years
  
- 4.      What is the likelihood of you requiring access to most of your invested money?**
  - a.      No access required at all for at least 7 years – other funds have been set aside for emergencies.
  - b.      Unlikely to require the majority of funds for 4 – 7 years. Small amounts may be required for emergencies in this time.
  - c.      May need to withdraw a significant amount in 2 – 4 years
  - d.      Likely to require the majority of funds within 2 years
  
- 5.      How concerned are you about the impact of taxation on your financial circumstances and investment returns?**
  - a.      Extremely concerned
  - b.      Highly concerned
  - c.      Concerned
  - d.      Moderately concerned
  - e.      Not concerned

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- 6. How concerned are you that growth in the capital value of your investments exceeds inflation?**
- a. Extremely concerned
  - b. Highly concerned
  - c. Concerned
  - d. Moderately concerned
  - e. Not concerned
- 7. How secure is the income from employment you use to meet normal living expenses?**
- a. Extremely secure
  - b. Highly secure
  - c. Secure
  - d. Moderately secure
  - e. Not secure
- 8. How concerned are you about generating income from investments to meet living expenses as opposed to achieving capital growth?**
- a. Not concerned - High growth preferred
  - b. Moderately concerned but growth is required
  - c. Concerned but moderate growth is required
  - d. Highly concerned - Low growth tolerated
  - e. Security of income is paramount – not concerned about growth
- 9. Low risk investments usually produce low returns. High return investments are usually subject to higher risks. Indicate your comfort level with the risk/return trade-off.**
- a. Aggressive risk taker/ highest potential returns
  - b. Confident risk taker / high returns
  - c. Balanced Risks taker/ medium returns
  - d. Moderate risks taker / moderate returns
  - e. Low risk taker / low returns
- 10. How concerned are you about providing for your dependants by maximising the value of your estate?**
1. Not Concerned
  2. Concerned
  3. Very Concerned
- 11. How actively involved are you likely to be in researching and making decisions regarding your investments?**
1. Actively involved
  2. Low involvement
  3. Not involved